



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

## BIB DATA SHEET

CONFIRMATION NO. 1150

<b>SERIAL NUMBER</b> 09/802,169	<b>FILING or 371(c) DATE</b> 03/08/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3692	<b>ATTORNEY DOCKET NO.</b> 125473		
<b>APPLICANTS</b> Christopher Keith, New York, NY; <b>** CONTINUING DATA *****</b> <i>CG</i> This application is a CIP of 09/546,031 04/10/2000 <b>** FOREIGN APPLICATIONS *****</b> <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 04/17/2001						
Foreign Priority claimed \ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Verified and Acknowledged <i>CG</i> Examiner's Signature _____		<input type="checkbox"/> Met after Allowance Initials _____	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWINGS</b> 91	<b>TOTAL CLAIMS</b> 31	<b>INDEPENDENT CLAIMS</b> 5
<b>ADDRESS</b> CHRISTENSEN O'CONNOR JOHNSON KINDNESS PLLC 1420 FIFTH AVENUE SUITE 2800 SEATTLE, WA 98101-2347 UNITED STATES						
<b>TITLE</b> Automated order book with crowd price improvement						
<b>FILING FEE RECEIVED</b> 534	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees		
				<input type="checkbox"/> 1.16 Fees (Filing)		
				<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)		
				<input type="checkbox"/> 1.18 Fees (Issue)		
				<input type="checkbox"/> Other _____		
				<input type="checkbox"/> Credit		